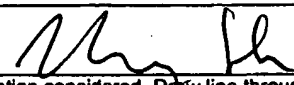


Substitute Form PTO-1449 (Prescribed)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13909-100001	Application No. 10/699,477
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant <b>Wolfgang Theilmann et al.</b>	
		Filing Date October 31, 2003	Group Art Unit <b>3714</b>

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
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	AC	US-60/329,088		Riggs			10/12/2001
	AD	US-60/334,714		Diesel			11/1/2001
	AE	US-60/400,606		Diesel			8/1/2002
	AF	US-2002/0006603 A1	11-2002	Peterson et al.			
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	AJ	US-2003/0163784 A1	8-2003	Daniel et al.			
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	AV	US-6347943	2-2002	Fields et al.			
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Examiner Signature 	Date Considered 3/16/07
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

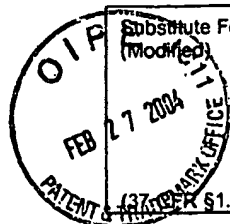
Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13909-100001	Application No. 10/699,477
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant <b>Wolfgang Theilmann et al.</b>	
		Filing Date October 31, 2003	Group Art Unit <b>3714</b>

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
/KH/	ABB	US-6709330	3-2004	Klein et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No

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/KH/	ACC	Hewlett Packard, "HP OpenView Integration Guide for Developers," July 2002, [online], [retrieved from the Internet on March 24, 2004: <a href="http://h21007.www2.hp.com/dspp/files/unprotected/OpenView/IntegrationGuide/OV_Integration_Guide_7_30.pdf">http://h21007.www2.hp.com/dspp/files/unprotected/OpenView/IntegrationGuide/OV_Integration_Guide_7_30.pdf</a> ], pp. 67-92, XP002274908
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/KH/	AFF	Trythall, Steve, "JMS and CORBA Notification Interworking," December 12, 2001, [online], [retrieved from the Internet March 24, 2004: <a href="http://www.onjava.com/pub/a/onjava/2001/12/12/jms_not.html">http://www.onjava.com/pub/a/onjava/2001/12/12/jms_not.html</a> ], 8 pgs., XP002274907

Examiner Signature /Kang Hu/	Date Considered 03/16/2007
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Substitute Form PTO-1449

(Modified)

U.S. Department of Commerce  
Patent and Trademark Office

Attorney's Docket No.

13909-100001

Application No.

10/699,477

**Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)

Applicant

Wolfgang Theilmann et al.

Filing Date

October 31, 2003

Group Art Unit

3714

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3/16/07

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13909-100001	Application No. 10/699,477
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Wolfgang Theilmann et al.	
		Filing Date October 31, 2003	Group Art Unit 3714

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							Yes	No
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(Modified)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
13909-100001Application No.  
10/699,477**Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)

Applicant  
Wolfgang Theilmann et al.Filing Date  
October 31, 2003Group Art Unit  
37184**U.S. Patent Documents**

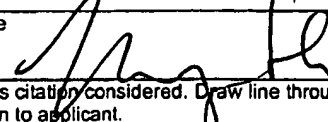
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
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	AB	US-6,381,444	04/2002	Aggarwal et al.			
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KA	AH	US-RE38,432	02/2004	Fai et al.			

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Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
KP	AI	Global Knowledge Network, Inc., "On Demand Personal Navigator," <a href="http://kp.globalknowledge.com/products/od/index.asp">http://kp.globalknowledge.com/products/od/index.asp</a> , September 2002, 2 pgs.
I	AJ	x.hlp, "Software for faster learning," <a href="http://www.xhlp.com/home.cfm">http://www.xhlp.com/home.cfm</a> , April 2003, 7 pgs.

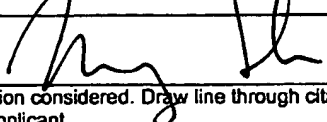
Examiner Signature 	Date Considered 3/16/07
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified) AUG 30 2004 PATENT & TRADEMARK OFFICE 37 CFR 1.96(b)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13909-100001	Application No. 10/699,477
	Information Disclosure Statement by Applicant (Use several sheets if necessary)		
	Applicant Wolfgang Theilmann et al.		Filing Date October 31, 2003

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
KH	AA	US-5675802 A	10/1997	Allen et al.			
I	AB	US-6134552 A	10/2000	Fritz et al.			
I	AC	US-6430563 B1	08/2002	Fritz et al.			

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Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
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KH	AD	GB 2 373 625 A	09/2002	GB				

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
KH	AE	Lipkin, Daniel, "Universal Learning Format Technical Specification," January 13, 2001, retrieved from the Internet on July 29, 2004, at <a href="http://xml.coverpages.org/ulf.html">http://xml.coverpages.org/ulf.html</a> , XP002290517, pp. 1-3

Examiner Signature		Date Considered	3/16/07
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13909-100001	Application No. 10/699,477
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR § 1.98(b))		Applicant Wolfgang Theilmann et al.	
		Filing Date October 31, 2003	Group Art Unit 3718 4

**U.S. Patent Documents**

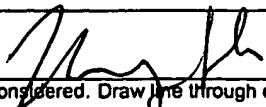
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KH	AA	US-5584699	12-1996	Silver			
	AB	US-5675802 A	10-1997	Allen et al.			
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	AE	US-6175841	01-2001	Loiacono			
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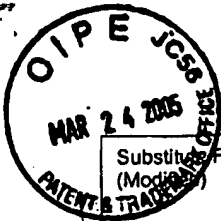
**Foreign Patent Documents or Published Foreign Patent Applications**

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
KH	AG	GB 2373625 A	09-2002	United Kingdom				

**Other Documents (include Author, Title, Date, and Place of Publication)**

Examiner Initial	Desig. ID	Document
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Examiner Signature 	Date Considered 3/16/07
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Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13909-100001	Application No. 10/699,477
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Wolfgang Theilmann et al.	
		Filing Date October 31, 2003	Group Art Unit 37174

**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
24	AA	US-5692125	11/1997	Schloss et al.			
	AB	US-6029043	02/2000	Ho et al.			
	AC	US-6471521	10/2002	Dornbush et al.			
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Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
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24	AG	WO 99/09490	02/1999	WIPO				
	AH	WO 02/21379	03/2002	WIPO				
	AI	WO 02/073442 A	09/2002	WIPO				

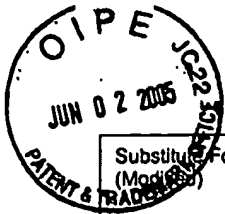
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Examiner Signature 	Date Considered 3/16/07
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	


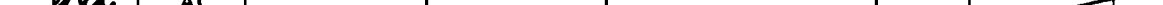
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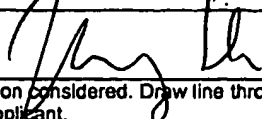
Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce, Patent and Trademark Office	Attorney's Docket No. 13909-100001	Application No. 10/699,477
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))		Applicant Wolfgang Theilmann et al.	
		Filing Date October 31, 2003	Group Art Unit 3717 4

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
JH	AA	5,727,950	03/17/98	Cook et al.			
	AB						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
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Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
JH	AD	

Examiner Signature 	Date Considered 3/16/07
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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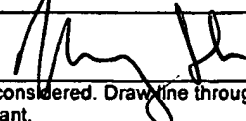
Sheet 1 of 1

Substitute Form PTO-149 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13909-100001	Application No. 10/699,477
	Applicant Wolfgang Theilmann et al.		
	Filing Date October 31, 2003	Group Art Unit 37184	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
KH	AA	US-6243692	06/05/2001	Floyd et al.			

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
KH	AB	WO 98/53384	11/26/1998	WIPO				
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	AD	EP 1 071 253	01/24/2001	EPO				

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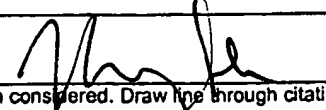
Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement</b> by Applicant (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13909-100001	Application No. 10/699,477
	Applicant Wolfgang Theilmann et al.		
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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
KL	AA	US 5,727,951	03/17/1998	Ho et al.			
	AB	US 6,988,138	01/17/2006	Alcorn et al.			
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Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
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Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document

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